



Links between the ex-ante evaluation of the CAP Strategic Plan and the Strategic Environmental Assessment

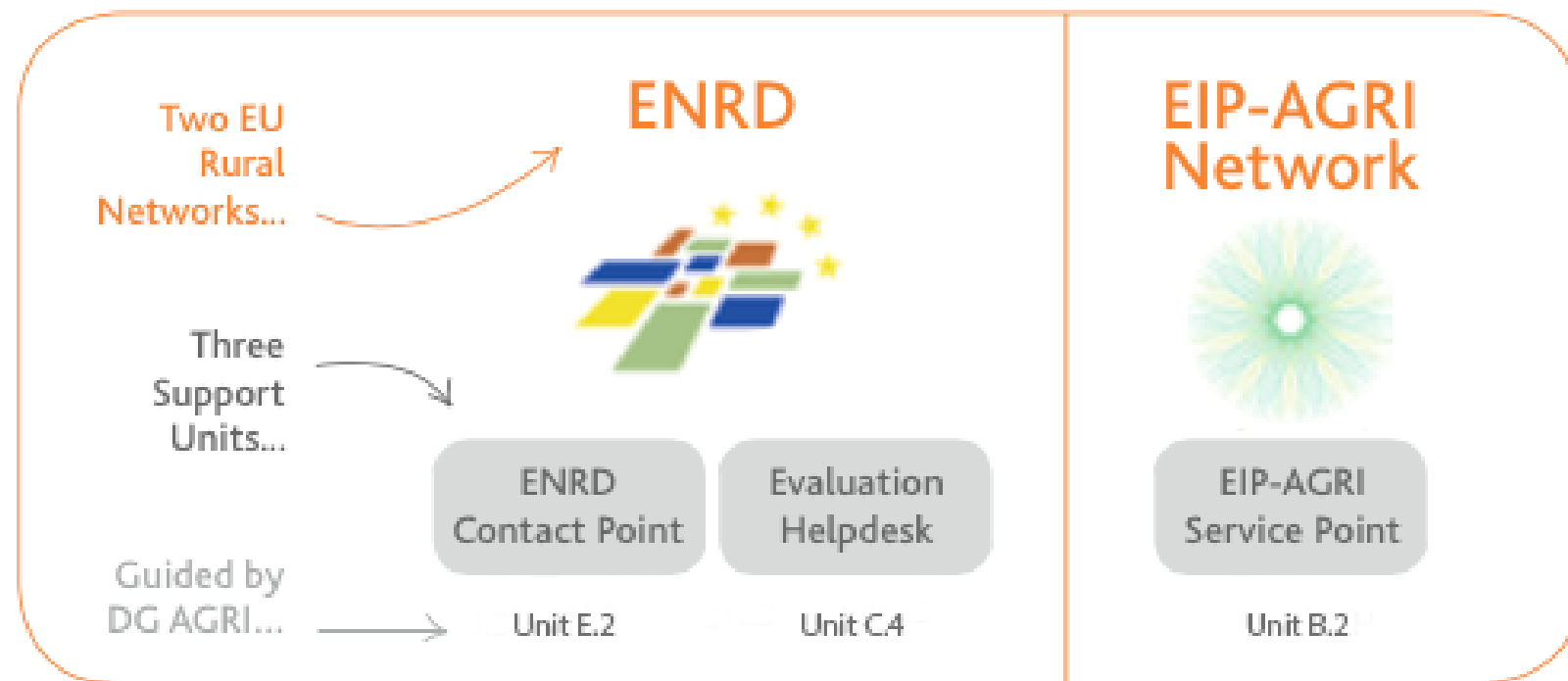
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Strategic Environmental Assessment and Strategic Planning,
Sept 9 to 11 <https://sea-conference.eu/>

POWERED BY



Who we are?



Methods, tools

Good Practices

Capacity building

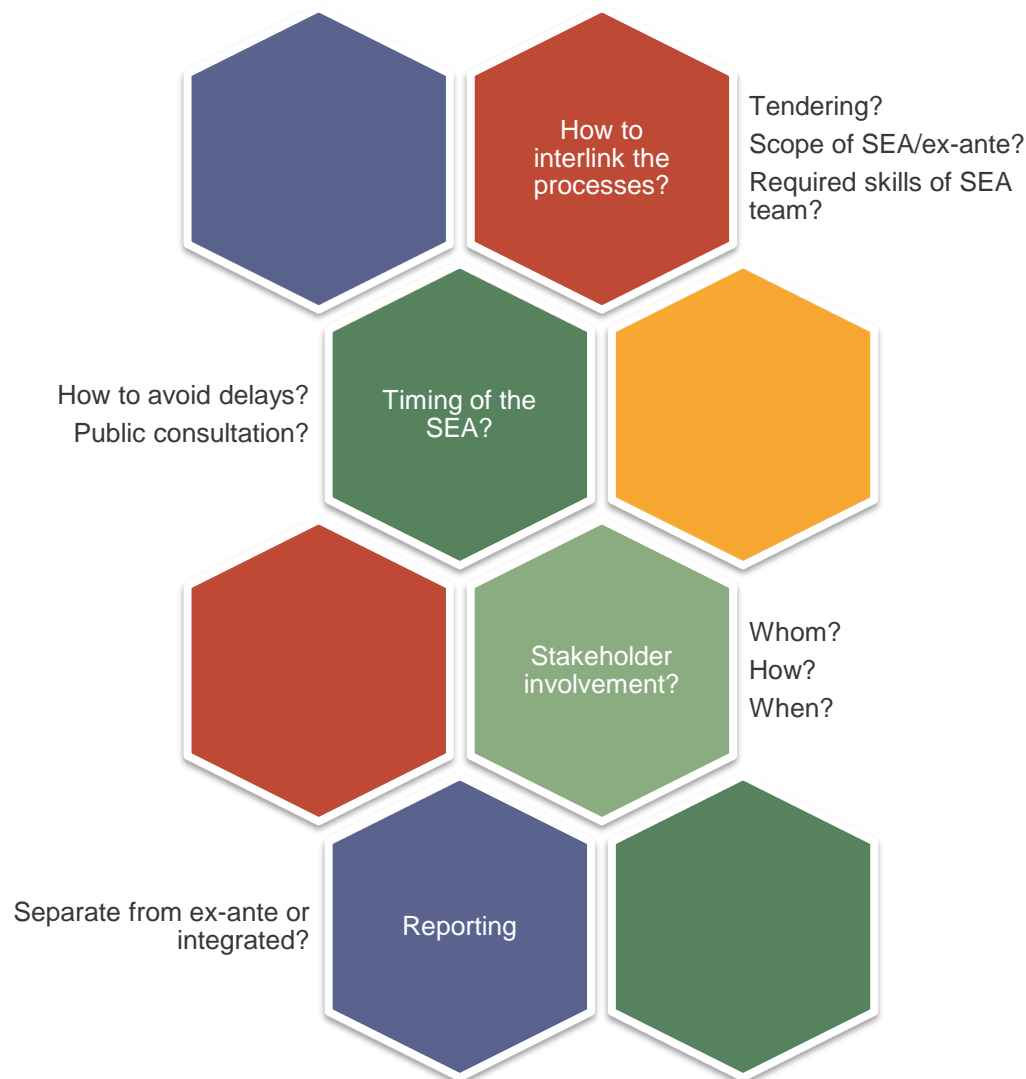
M&E system

Evaluation-related events, Newsletter, website

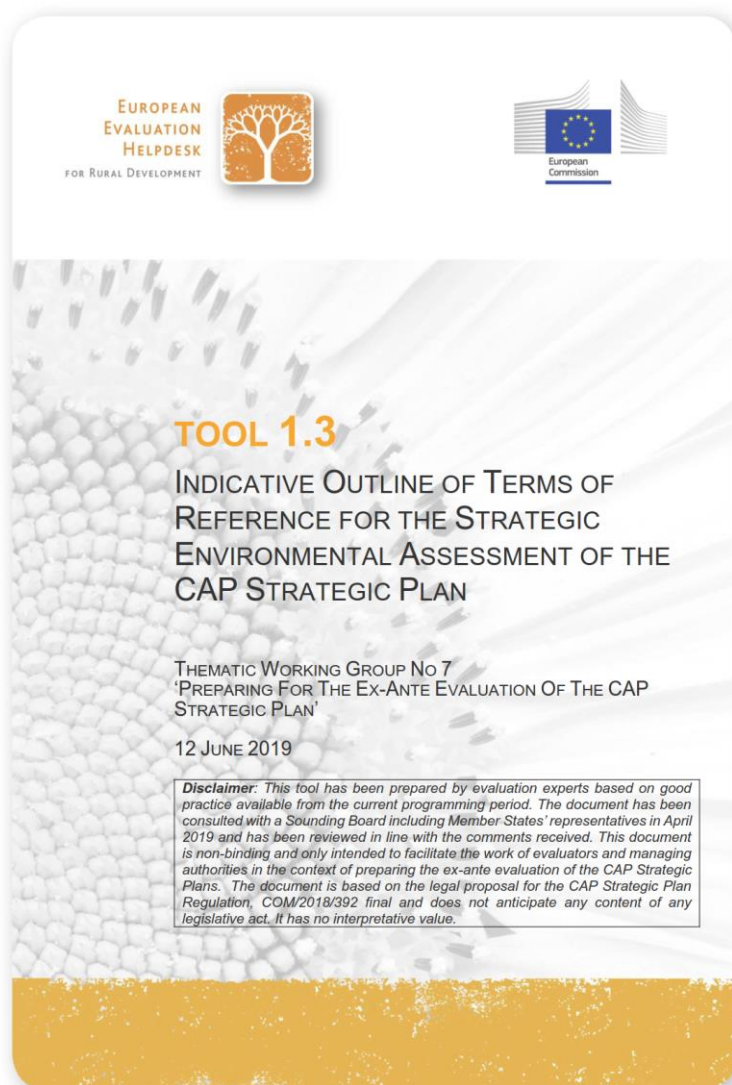
Our work on ex-ante and SEA



Questions of Member States



Support for Member States



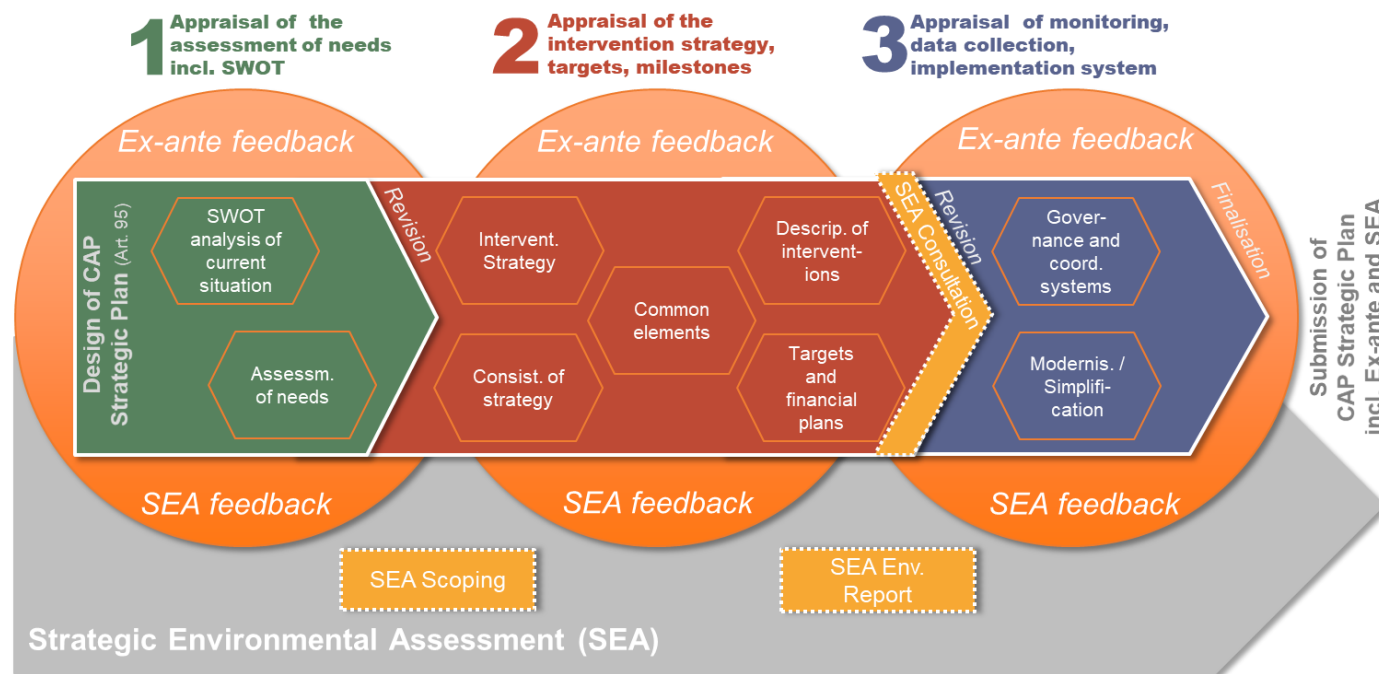
- Foster the discussion between actors involved in drafting the terms of reference
- Identify issues that need further consideration and/or clarification
- Share lessons on drafting the ToR
- Build capacity among stakeholders newly involved in the SEA in the context of the CAP.

According to Article 103 (1) of the SPR proposal, the Annex I to the CSP (..) shall include a summary of the main results of the ex-ante evaluation (...) and the Strategic Environmental Assessment (SEA) (...) and how they have been addressed or a justification of why they have not been taken into account, and a link to the complete ex-ante evaluation report and SEA report.





How to link SEA and ex-ante?



- Various possible ways of organising the process
- Iterative approach for providing step by step feedback
- SEA directive and national transpositions to be considered
- Close integration in line with national SEA legislation

How to link SEA and ex-ante?



SEA is closely linked to the ex-ante evaluation

- can be **contractually integrated** if the national SEA legislation allows for it (e.g. joint ToR)
- **SEA feedback** to the CSP can be provided as a joint feedback together with the ex-ante evaluation
- **respecting the separate requirements** for reporting, consultation and procedures.

Timing of the SEA

SEA process accompanies the CSP programming

- **SEA phases:** (1) screening, (2) scoping, (3) environmental reports, (4) information & consultations, (5) decision, (6) information, (7) monitoring.
 - Phase (2) to (6) in parallel to CSP programming & ex-ante
 - **to be launched in a timely manner**
 - **avoid delays** for submission of the CSP (due to SEA).
- **Publishing the SEA for public consultation:**
 - Usually by national environmental authorities
 - Consultation times varies from members state to member state.
 - The SEA and the CSP must be sent to relevant authorities in case of transboundary impacts



Involvement of stakeholders is key success factor

- **Identify the key stakeholders** (key groups and institutions, environmental agencies, NGOs, representatives of the public and others, including those groups potentially affected by the likely environmental impacts of implementing the CSP)
- Check also **stakeholders foreseen in the national legislation** transposing the SEA Directive
- In line with the **CSP procedural requirements** stated in Article 94 of the SPR proposal.

Indicative outline: Scoping (report)



1. Overview of the CSP and its institutional and legislative framework
2. Description of key stakeholders and their concerns
3. Description of key environmental aspects to be addressed in the SEA report
4. Description of the scope of the environmental baseline to be prepared in the SEA report
5. Recommendations on specific impact identification and evaluation methodologies to be used in SEA report
6. Annexes

Indicative outline: SEA Environmental Report



1. Non-technical summary
2. Background and CAP Strategic Plan
3. Approach and methodology
4. Description of environmental baseline and likely evolution without CSP implementation
5. Assessment of the expected environmental impact of the CSP including alternatives and mitigating measures
6. Monitoring measures
7. Stakeholder engagement
8. Conclusions and recommendations
9. Annexes
10. Statement



Question for discussion

What are the **pros and cons of integrating the SEA and ex-ante process** according to your experience?

- What are the obstacles?
- What are the benefits?



Further reading

From https://enrd.ec.europa.eu/evaluation/publications_en

- [Tool 1.1 - Indicative Roadmap for the Ex Ante Evaluation and Strategic Environmental Assessment of the CAP Strategic Plan](#)
- [Tool 1.4 - Stakeholder mapping checklist](#)
- [Tool 1.3 - Indicative outline of terms of reference for the Strategic Environmental Assessment of the CAP Strategic Plan](#)
- [Good Practice Workshop: Getting prepared for the ex-ante evaluation of the CAP Strategic Plan](#)





Thank you for your attention!

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